

COLLEGES AND UNIVERSITIES RATE AGREEMENT

EIN: 94-6036493

DATE:11/27/2017

ORGANIZATION:

FILING REF.: The preceding agreement was dated 05/23/2012

University of California (UCSF)
 San Francisco Campus
 654 Minnesota Street, 2nd Floor
 San Francisco, CA 94143

The rates approved in this agreement are for use on grants, contracts and other agreements with the Federal Government, subject to the conditions in Section III.

SECTION I: Facilities And Administrative Cost Rates

RATE TYPES: FIXED FINAL PROV. (PROVISIONAL) PRED. (PREDETERMINED)

EFFECTIVE PERIOD

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE (%)</u>	<u>LOCATION</u>	<u>APPLICABLE TO</u>
PRED.	07/01/2016	06/30/2017	58.50	On-Campus	Org. Research
PRED.	07/01/2017	06/30/2018	59.50	On-Campus	Org. Research
PRED.	07/01/2018	06/30/2019	60.00	On-Campus	Org. Research
PRED.	07/01/2019	06/30/2020	60.50	On-Campus	Org. Research
PRED.	07/01/2020	06/30/2021	61.50	On-Campus	Org. Research
PRED.	07/01/2016	06/30/2021	26.00	Off-Campus	Org. Research
PRED.	07/01/2016	06/30/2017	44.00	On-Campus	Instruction
PRED.	07/01/2017	06/30/2018	45.00	On-Campus	Instruction
PRED.	07/01/2018	06/30/2021	46.00	On-Campus	Instruction
PRED.	07/01/2016	06/30/2021	26.00	Off-Campus	Instruction
PRED.	07/01/2016	06/30/2017	34.00	On-Campus	(A)
PRED.	07/01/2017	06/30/2018	35.00	On-Campus	(A)
PRED.	07/01/2018	06/30/2021	37.00	On-Campus	(A)
PRED.	07/01/2016	06/30/2021	26.00	Off-Campus	(A)
PRED.	07/01/2016	06/30/2017	27.40	On-Campus	(B)
PRED.	07/01/2017	06/30/2018	28.40	On-Campus	(B)
PRED.	07/01/2018	06/30/2021	29.40	On-Campus	(B)
PRED.	07/01/2016	06/30/2017	19.70	Off-Campus	(B)

ORGANIZATION: University of California (UCSF) San Francisco
Campus

AGREEMENT DATE: 11/27/2017

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE(%)</u>	<u>LOCATION</u>	<u>APPLICABLE TO</u>
PRED.	07/01/2017	06/30/2018	20.70	Off-Campus	(B)
PRED.	07/01/2018	06/30/2021	21.70	Off-Campus	(B)
PRED.	07/01/2016	06/30/2021	9.10	Off-Campus	(C)
PROV.	07/01/2021	Until Amended		(D)	

*BASE

Modified total direct costs, consisting of all direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel and up to the first \$25,000 of each subaward (regardless of the period of performance of the subawards under the award). Modified total direct costs shall exclude equipment, capital expenditures, charges for patient care, rental costs, tuition remission, scholarships, and fellowships, participant support costs and the portion of each subaward in excess of \$25,000. Other items may only be excluded when necessary to avoid a serious inequity in the distribution of indirect costs, and with the approval of the cognizant agency for indirect costs.

- (A) Other Sponsored Activities
- (B) Clinical Research Centers
- (C) Intergovernmental/Personnel Act Agreements
- (D) Use same rates and conditions as those cited for fiscal year ending June 30, 2021.

ORGANIZATION: University of California (UCSF) San Francisco
Campus

AGREEMENT DATE: 11/27/2017

SECTION II: SPECIAL REMARKS

TREATMENT OF FRINGE BENEFITS:

This organization charges the actual cost of each fringe benefit per employee direct to Federal projects for all employees whose salary and wages is charged direct to Federal projects. However, for the purpose of budgeting fringe benefit costs under project proposals, the organization uses an average fringe benefit rate which is applied to budgeted salaries and wages. The organization's fringe benefits are:

OASDI, FICA, RETIREMENT PLAN, HEALTH/DENTAL/OPTICAL INSURANCE, WORKERS COMPENSATION, UNEMPLOYMENT INSURANCE, NON-INDUSTRIAL DISABILITY INSURANCE, LIFE INSURANCE, ANNUITANT HEALTH AND DENTAL INSURANCE, INCENTIVE AWARD PROGRAMS, EMPLOYEE SUPPORT PROGRAM, AND TUITION/FEE REMISSION OF CERTAIN STUDENT EMPLOYEES.

TREATMENT OF PAID ABSENCES

Vacation leave costs are charged on the accrual basis of accounting as an assessment to payroll. All other leave costs, including sick, holiday, and other leave costs (e.g., jury duty) are charged on the cash basis of accounting. Leave costs other than vacation are included in salaries and wages and are claimed on grants, contracts and other agreements as part of the normal cost for salaries and wages. Separate claims for the costs of these paid absences are not made. Leave costs are allocated to direct and/or indirect objectives on a prorated basis consistent with the allocation of the employee's salary and wages. Sabbatical leave costs are not charged to sponsored agreements.

ORGANIZATION: University of California (UCSF) San Francisco
Campus

AGREEMENT DATE: 11/27/2017

DEFINITION OF EQUIPMENT

Equipment means tangible personal property (including information technology systems) having a useful life of more than one year and a per-unit acquisition cost which equals or exceeds \$5,000.

DEFINITION OF ON-CAMPUS, OFF-CAMPUS AND SPECIAL RATES

DEFINITION OF OFF-CAMPUS RATE

The off-campus rate is applicable to those projects conducted at facilities not owned or leased by the University. However, if the project is conducted in leased space and lease costs are directly charged to the project, then the off-campus rate must be used.

PROJECTS CONDUCTED ENTIRELY ON-CAMPUS OR ENTIRELY OFF-CAMPUS:

Projects conducted entirely on-campus or entirely off-campus will be applied the on-campus or off-campus rate respectively.

PROJECTS CONDUCTED PARTIALLY OFF-CAMPUS AND PARTIALLY ON-CAMPUS:

If the project involves work at both on-campus and off-campus sites, either the on-campus or off-campus rate generally should be applied, consistent with where the majority of the work is to be performed. Salary cost is generally accepted as a measure of work performed in terms of the total project.

USE OF BOTH ON-CAMPUS AND OFF-CAMPUS RATES

The use of both on-campus and off-campus rates for a given project may be justified if both of the respective rates can clearly be identified with a significant portion of salaries and wages of the project. For purposes of this provision, significant is defined as approximately 25% or more of the total costs and a project's total salary and wage costs exceed \$250,000.

OTHER SPECIAL RATES

These rates apply only to the facility or program to which they are identified. If any additional special rates become necessary the establishment of such rates should be coordinated through the cognizant negotiation agency.

NEXT PROPOSAL DUE DATE

A proposal based on actual costs for fiscal year ending 06/30/20, will be due no later than 12/31/2020.

ORGANIZATION: University of California (UCSF) San Francisco Campus

AGREEMENT DATE: 11/27/2017

SECTION III: GENERAL

A. LIMITATIONS:

The rates in this Agreement are subject to any statutory or administrative limitations and apply to a given grant, contract or other agreement only to the extent that funds are available. Acceptance of the rates is subject to the following conditions: (1) Only costs incurred by the organization were included in its facilities and administrative cost pools as finally accepted; such costs are legal obligations of the organization and are allowable under the governing cost principles; (2) The same costs that have been treated as facilities and administrative costs are not claimed as direct costs; (3) Similar types of costs have been accorded consistent accounting treatment; and (4) The information provided by the organization which was used to establish the rates is not later found to be materially incomplete or inaccurate by the Federal Government. In such situations the rate(s) would be subject to renegotiation at the discretion of the Federal Government.

B. ACCOUNTING CHANGES:

This Agreement is based on the accounting system purported by the organization to be in effect during the Agreement period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from the use of this Agreement require prior approval of the authorized representative of the cognizant agency. Such changes include, but are not limited to, changes in the charging of a particular type of cost from facilities and administrative to direct. Failure to obtain approval may result in cost disallowances.

C. FIXED RATES:

If a fixed rate is in this Agreement, it is based on an estimate of the costs for the period covered by the rate. When the actual costs for this period are determined, an adjustment will be made to a rate of a future year(s) to compensate for the difference between the costs used to establish the fixed rate and actual costs.

D. USE BY OTHER FEDERAL AGENCIES:

The rates in this Agreement were approved in accordance with the authority in Title 2 of the Code of Federal Regulations, Part 200 (2 CFR 200), and should be applied to grants, contracts and other agreements covered by 2 CFR 200, subject to any limitations in A above. The organization may provide copies of the Agreement to other Federal Agencies to give them early notification of the Agreement.

E. OTHER:

If any Federal contract, grant or other agreement is reimbursing facilities and administrative costs by a means other than the approved rate(s) in this Agreement, the organization should (1) credit such costs to the affected programs, and (2) apply the approved rate(s) to the appropriate base to identify the proper amount of facilities and administrative costs allocable to these programs.

BY THE INSTITUTION:

University of California (UCSF) San Francisco Campus

(INSTITUTION)

(SIGNATURE)

Nathan Brostrom

(NAME)

EVP - Chief Financial Officer

(TITLE)

(DATE)

ON BEHALF OF THE FEDERAL GOVERNMENT:

DEPARTMENT OF HEALTH AND HUMAN SERVICES

(AGENCY)

Arif M. Karim -A

Digitally signed by Arif M. Karim -A
DN: c=US, o=U.S. Government, ou=HHS, ou=PSC,
ou=People, cn=Arif M. Karim -A,
0.9.2342.19200300.100.1.1=200212895
Date: 2017.12.01 10:07:47 -0600

(SIGNATURE)

Arif Karim

(NAME)

Director, Cost Allocation Services

(TITLE)

11/27/2017

(DATE) 7014

HHS REPRESENTATIVE:

Jeanette Lu

Telephone:

(415) 437-7820

UNIVERSITY OF CALIFORNIA, SAN FRANCISCO
 FACILITIES AND ADMINISTRATIVE COST RATES
 JULY 1, 2016 THROUGH JUNE 30, 2021

	ORGANIZED RESEARCH JULY 1, 2016 THROUGH JUNE 30, 2017		ORGANIZED RESEARCH JULY 1, 2017 THROUGH JUNE 30, 2018		ORGANIZED RESEARCH JULY 1, 2018 THROUGH JUNE 30, 2019		ORGANIZED RESEARCH JULY 1, 2019 THROUGH JUNE 30, 2020		ORGANIZED RESEARCH JULY 1, 2020 THROUGH JUNE 30, 2021	
	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS
BUILDING DEPRECIATION	10.0%		10.2%		10.5%		10.8%		11.2%	
INTEREST	5.5%		5.8%		6.0%		6.0%		6.0%	
EQUIPMENT DEPRECIATION	2.3%		2.3%		2.3%		2.3%		2.3%	
OPERATIONS & MAINTENANCE	13.2%		13.7%		13.7%		13.9%		14.5%	
LIBRARY	1.5%		1.5%		1.5%		1.5%		1.5%	
GENERAL ADMINISTRATION		6.8%		6.8%		6.8%		6.8%		6.8%
DEPARTMENT ADMINISTRATION		16.7%		16.5%		16.5%		16.5%		16.5%
SPONSORED PROJECTS ADMINISTRATION		2.2%		2.5%		2.5%		2.5%		2.5%
STUDENT SERVICES & ADMIN		0.3%		0.3%		0.3%		0.3%		0.3%
ADMINISTRATION COMPONENTS	<u>26.0%</u>	<u>26.0%</u>	<u>26.0%</u>	<u>26.0%</u>	<u>26.0%</u>	<u>26.0%</u>	<u>26.0%</u>	<u>26.0%</u>	<u>26.0%</u>	<u>26.0%</u>
TOTAL	58.5%	26.0%	59.5%	26.0%	60.0%	26.0%	60.5%	26.0%	61.5%	26.0%

REFLECTS PROVISIONS OF APPENDIX III TO PART 200 OF UNIFORM GUIDANCE - INDIRECT (F&A) COSTS IDENTIFICATION AND ASSIGNMENT, AND RATE DETERMINATION FOR INSTITUTIONS OF HIGHER EDUCATION (IHEs), C.8. DATED SEPTEMBER 10, 2015.

CONCUR:


 (SIGNATURE)

Executive Vice President - Chief Financial Officer

TITLE

12/7/2017
 DATE

UNIVERSITY OF CALIFORNIA, SAN FRANCISCO
 FACILITIES AND ADMINISTRATIVE COST RATES
 JULY 1, 2016 THROUGH JUNE 30, 2017

INSTRUCTION	JULY 1, 2016 THROUGH JUNE 30, 2017		OTHER SPONSORED		CLINICAL RESEARCH CENTER *		IPA **	
	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS
BUILDING DEPRECIATION	4.7%		2.0%		2.6%			
INTEREST	1.6%		0.8%		0.3%			
EQUIPMENT DEPRECIATION	1.1%		0.7%		0.2%			
OPERATIONS & MAINTENANCE	6.7%		3.4%		4.0%			
LIBRARY	3.9%		1.1%		0.6%			
GENERAL ADMINISTRATION		6.8%		6.8%		6.8%		6.8%
DEPARTMENT ADMINISTRATION		8.6%		17.0%		10.2%		0.0%
SPONSORED PROJECTS ADMINISTRATION		2.2%		2.2%		2.7%		2.3%
STUDENT SERVICES & ADMIN		8.4%		0.0%		0.0%		0.0%
ADMINISTRATION COMPONENTS		26.0%		26.0%		19.7%		9.1%
TOTAL	44.0%	26.0%	34.0%	26.0%	27.4%			9.1%

* University employees performing CLINICAL RESEARCH CENTER effort in University-owned facilities (On-Campus).

** IPAs are agreements made under the Intergovernmental Personnel Act (IPA) Mobility Program.

REFLECTS PROVISIONS OF APPENDIX III TO PART 200 OF UNIFORM GUIDANCE - INDIRECT (F&A) COSTS IDENTIFICATION AND ASSIGNMENT, AND RATE DETERMINATION FOR INSTITUTIONS OF HIGHER EDUCATION (IHES), C.8. DATED SEPTEMBER 10, 2015.

CONCUR:


 (SIGNATURE)

Executive Vice President - Chief Financial Officer

TITLE

12/7/2017
 DATE

UNIVERSITY OF CALIFORNIA, SAN FRANCISCO
 FACILITIES AND ADMINISTRATIVE COST RATES
 JULY 1, 2017 THROUGH JUNE 30, 2018

	INSTRUCTION		OTHER SPONSORED ACTIVITIES		CLINICAL RESEARCH CENTER *		IPA **	
	JULY 1, 2017 THROUGH JUNE 30, 2018		JULY 1, 2017 THROUGH JUNE 30, 2018		JULY 1, 2017 THROUGH JUNE 30, 2018		JULY 1, 2017 THROUGH JUNE 30, 2018	
	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS				
BUILDING DEPRECIATION	5.0%		2.2%		2.6%			
INTEREST	2.0%		1.0%		0.3%			
EQUIPMENT DEPRECIATION	1.1%		0.7%		0.2%			
OPERATIONS & MAINTENANCE	7.0%		4.0%		4.0%			
LIBRARY	3.9%		1.1%		0.6%			
GENERAL ADMINISTRATION		6.8%		6.8%		7.8%		6.8%
DEPARTMENT ADMINISTRATION		8.6%		17.0%		9.7%		0.0%
SPONSORED PROJECTS ADMINISTRATION		2.2%		2.2%		3.2%		2.3%
STUDENT SERVICES & ADMIN		8.4%		0.0%		0.0%		0.0%
ADMINISTRATION COMPONENTS		26.0%		26.0%		20.7%		9.1%
TOTAL	45.0%	26.0%	35.0%	26.0%	28.4%			9.1%

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REFLECTS PROVISIONS OF APPENDIX III TO PART 200 OF UNIFORM GUIDANCE - INDIRECT (F&A) COSTS IDENTIFICATION AND ASSIGNMENT, AND RATE DETERMINATION FOR INSTITUTIONS OF HIGHER EDUCATION (IHEs), C.8. DATED SEPTEMBER 10, 2015.

CONCUR:

Meth Gork
 (SIGNATURE)

Executive Vice President - Chief Financial Officer

TITLE

12/7/2017

DATE

UNIVERSITY OF CALIFORNIA, SAN FRANCISCO
 FACILITIES AND ADMINISTRATIVE COST RATES
 JULY 1, 2018 THROUGH JUNE 30, 2021

	INSTRUCTION		OTHER SPONSORED		CLINICAL RESEARCH CENTER *		IPA **
	JULY 1, 2018 THROUGH JUNE 30, 2021		JULY 1, 2018 THROUGH JUNE 30, 2021		JULY 1, 2018 THROUGH JUNE 30, 2021		JULY 1, 2018 THROUGH JUNE 30, 2021
	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS			
BUILDING DEPRECIATION	5.2%		3.2%		2.6%		
INTEREST	2.5%		2.0%		0.3%		
EQUIPMENT DEPRECIATION	1.1%		0.7%		0.2%		
OPERATIONS & MAINTENANCE	7.3%		4.0%		4.0%		
LIBRARY	3.9%		1.1%		0.6%		
GENERAL ADMINISTRATION		6.8%		6.8%		8.0%	6.8%
DEPARTMENT ADMINISTRATION		8.6%		17.0%		10.5%	0.0%
SPONSORED PROJECTS ADMINISTRATION		2.2%		2.2%		3.2%	2.3%
STUDENT SERVICES & ADMIN		8.4%		0.0%		0.0%	0.0%
ADMINISTRATION COMPONENTS		26.0%		26.0%		21.7%	9.1%
TOTAL	46.0%	26.0%	37.0%	26.0%	29.4%	21.7%	9.1%

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CONCUR:


 (SIGNATURE)

Executive Vice President - Chief Financial Officer

TITLE

12/7/2017

DATE