Federal Government Shutdown

Dec 22, 2018

DECEMBER 2018 Partial Federal Government Shutdown (FY2019)

A partial federal government shutdown is in effect. The Office of Management and Budget (OMB) has issued a memorandum with the Status of Agency Operations.

Click here for all agency contingency plans. Noted affected agencies include but are not limited to:

**Environmental Protection Agency (EPA)**? contingency plan (grants, cooperative agreements, and interagency agreements).

- Activities using Automated Standard Application Payment (ASAP) can continue draw down of authorized obligated levels
- Except for ASAP activities, no payment processing will be available for cost reimbursement or special terms that require prior approval of disbursement outside of ASAP
- EPA staff will not be available to make payments
- Recipient must stop work if they reach a point that requires EPA involved or approval, and should maintain documentation of any allowable costs associated with the shutdown

**National Aeronautics and Space Administration (NASA)**? contingency plan (contracts, grants, cooperative agreements, task orders, change orders, and other actions)

- No new actions will be issued during the shutdown
- Work obligated prior to the shutdown may continue as long as it does not require use of NASA facilities or government support funded by lapsed appropriation
- If work requires civil servant participation (inspection/funding action), contractors will suspend performance

**National Science Foundation (NSF)**? contingency plan (awards)

- Work may continue on awards to the extent that funds are available and federal staff intervention is not required
- No payment for new obligations will be made without an appropriation
- NSF deadlines that can be affected

If you receive a notification regarding impact on a federal services contract activities, please
forward that notification to Jean Jones, Senior Associate Director, Government & Business Contracts.

Further updates from the UC Office of the President will be posted on the UCOP website.

Please direct inquiries to OSRInfo@ucsf.edu.

**2018 Federal Government Shutdown**

On January 19, 2018 the federal government closed due to a lapse in funding. In anticipation of this shutdown, the Office of Management and Budget issued a memo to federal agencies about shutdown of operations. OSR will update this site as we became aware of impacts to sponsored projects.

The Office of Sponsored Research (OSR) will follow this preliminary plan for sponsored projects as announced on 1/22/2018.


All agency contingency plans are posted to the Office of Management and Budget website at: [https://www.whitehouse.gov/omb/agency-contingency-plans/](https://www.whitehouse.gov/omb/agency-contingency-plans/)

We will monitor for agency communications concerning specific awards and notify Principal Investigators to coordinate response strategies. Should the vote pass to re-open the government for a three-week period, we will continue to monitor impact and changes, which affect federal grants and contracts.

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**2013 Federal Government Shutdown Archive**

*Archived information on the federal government's shutdown and its impact on sponsored research.*

For current information and updates, please visit Update: Federal Government Resumes Operations.

**Overview**

- **University of California, San Francisco (UCSF)**

  Government Shutdown's Impact on UCSF Depends on Duration
University of California, Office of the President (UCOP)

OMB, UC Campus and Federal Agency Resources

Council on Governmental Relations (COGR)

October 2013 Federal Shutdown Guidance

Updates from Federal Agencies

○ Agency Contingency Plans

○ Agency for Healthcare Research & Quality (AHRQ)

○ Center for Disease Control (CDC)

○ Defense Threat Reduction Agency (DTRA)

○ Department of Defense (DOD)

○ National Aeronautics and Space Administration (NASA)

○ National Archives and Records Administration

○ National Institutes of Health (NIH)

○ National Science Foundation (NSF)

○ U.S. Agency for International Development (USAID)

○ U.S. Department of Commerce
Agency Contingency Plans

- Links to contingency plans across the Federal Government
- Letter from OMB to Agency Heads
- Update on Status of Operations
- Letter from the President to Federal Employees

Agency for Healthcare Research & Quality (AHRQ)

- Agency for Healthcare Research & Quality (AHRQ)
- HHS' AHRQ staff will not be available to provide routine administrative support services.
- For awards received prior to the gap in funding, you may also be able to continue drawing funds from prior awards during the lapse.
- For applications for additional HHS federal assistance funding: the grants.gov will be operational and will accept applications from prospective grantees.
- HHS will take action on applications for fully funded and excepted programs.
- For non-excepted programs, grants.gov will accept and store applications until the responsible Department has the authority and funding to return to normal business operations.

Center for Disease Control (CDC)
Although an Appropriation Act has not been passed, awardees of active CDC grants and cooperative agreements should continue with their planned grant-related activities. However, due to federal furloughs, certain grant-related administrative functions and tasks must be suspended or modified for most, but not all, CDC grants and cooperative agreements.

All progress reports due during the funding lapse will be delayed. eRA Commons is not available for those who submit reports electronically and no CDC staff are available to receive paper reports. Please hold submissions until eRA Commons is back online or CDC staff have resumed operations.

CDC is unable to generate Notices of Award (NoA) during the funding lapse. Awards that were processed before the funding lapse that include an issue date during the lapse will not be sent to grantees on the issue date. Once operations resume all pending NoAs will be sent. This will not affect the start date nor the issue date of these awards; it just affects the date the award document is actually sent to the grantee and available for access in the eRA Commons. In the absence of actually receiving the NoA, institutions may use pre-award costs authority at their own risk.

The eRA Commons will not be accessible during the funding lapse. Further, no-cost extension requests cannot be submitted via the Commons once the expiration date of the grant/cooperative agreement has passed.

Requests for no cost extensions for grants/cooperative agreements expiring during the lapse in appropriations may be considered on a case-by-case basis for excepted programs only (see list below). If granted, a letter signed by a Grants Management Officer will be provided and the NoA will be provided when the lapse in appropriations is resolved and systems are operational.

CDC extramural employees will have no access to voice mail, e-mail, fax, or postal mail during the funding lapse. We recommend holding all prior approval requests and other communications until operations resume.

The eRA Commons will not be accessible during the funding lapse. Therefore, no user will be able to access the Commons for viewing electronically submitted applications, accessing Internet Assisted Review, or processing such actions as Commons Registration, FSRs/FFRs, xTrain documents, Closeout documents, and/or FCOI notifications, etc. Further, there will be no ability to access Commons for query or other purposes.

EXCEPTIONS

CDC may be able to perform more expanded administrative functions for the grants and cooperative agreements listed below. Requests will be managed on a case-by-case basis and will be coordinated centrally by PGO. Requests for assistance on these grants/cooperative agreements should be directed to Glynnis Taylor (770.488.2752, GTaylor1@cdc.gov) for domestic awards and Steward Nichols (770.488.2788, SNichols1@cdc.gov) for international awards.

- Vaccines for Children (VFC) Program
- Energy Employee Illness Occupational Compensation Program Act (EEIOCPA)
- Childhood Obesity Demonstration Project (ACA)
- Health Prog. Grants Related to Environmental Health Hazards, Libby, Montana - (PPHF) (ACA)
- Global Health - International HIV AIDS/GAP
- World Trade Center (WTC) Program Health Fund - New WTC Annual Year Symbol

- For applications for additional HHS federal assistance funding: the Grants.gov system will be operational and will accept applications from prospective grantees.
- HHS will take action on applications for fully funded and excepted programs.
- For non-excepted programs, Grants.gov will accept and store applications until the responsible Department has the authority and funding to return to normal business operations.
- October 4th CDC Email
- CDC Letter to Grantee

Defense Threat Reduction Agency (DTRA)

- Defense Threat Reduction Agency (DTRA) statement.

Department of Defense (DOD)

- Receipt of pre-applications to eReceipt and full applications to Grants.gov is expected to continue during the Government shutdown, but with reduced support staff.
- The current government shutdown is not impacting the CDMRP eReceipt System nor the Grants.gov application receipt portal. All CDMRP pre-application and application submission deadlines remain as specified in the Program Announcements. The CDMRP Helpdesk and the Grants.gov Contact Center remain open.
- Actions related to existing funds will likely experience some delay

National Aeronautics and Space Administration (NASA)

- Statement from NASA on government shutdown.
National Institutes of Health (NIH)

- For information concerning operations (or non-operations) during the Federal government shutdown, see NIH Guide notice NOT-OD-13-126
- Depending on the length of the funding lapse, once NIH non-excepted staff are authorized to resume operations it will take time for full operations to be resumed.
- The eRA Commons will not be accessible during the funding lapse, therefore all transactions performed on Commons including NCEs, JITs, FFRs, Closeouts, xTrain, etc. are not available until after operations resume.
- Depending on the length of the funding lapse, the eRA system may require at least one business day after operations resume.
- All prior approval requests and other communications will not be received until operations resume. It is recommended that you delay sending such communications until after operations resume.
- For the duration of the funding lapse, applicants are strongly encouraged not to submit paper or electronic grant applications to NIH during the period of the lapse.

SUBMISSION OF GRANT APPLICATIONS:

For the duration of the funding lapse, applicants are strongly encouraged not to submit paper or electronic grant applications to NIH during the period of the lapse. Adjustments to application submission dates that occur during the funding lapse will be announced once operations resume. For any applications submitted immediately prior to or during the funding lapse, here is what will happen.

1. For electronic submissions through Grants.gov: Grants.gov will be open and can accept electronic applications. However, applications will not be processed by NIH until the eRA Systems are back on-line. NIH will ensure that all applications submitted within the two business days before or during the funding lapse will receive the full viewing window once the systems are back on-line.
2. For electronic submission of multi-project applications through NIH?s ASSIST system: The ASISST system will not be available until NIH systems are back on-line.
3. Paper Submissions: Staff will not be available to receive paper applications
during a funding lapse.

The safest course is to wait to submit any application to NIH until after operations resume and a Notice in the NIH Guide concerning adjusted submission dates is posted.

- No NIH grant awards will be processed for the duration of the funding lapse. For any awards processed before the funding lapse that have an issue date during the funding lapse, the awards will not be sent to the grantee on the issue date. Once operations resume, all pending NoAs will be sent. This will not affect the start date nor the issue date of these awards; it just affects the date the award document is actually sent to the grantee and available for access in the eRA Commons. In the absence of actually receiving the NoA, institutions may use pre-award costs authority at their own risk.

**Government Shutdown Update: NIH Restores Access to ClinicalTrials.gov**

NIH has restored access to the ClinicalTrials.gov site during the current lapse in government funding for registration of new clinical trials in order for applicable trials to be in compliance with U.S. Public Law 110-85 (The Food and Drug Administration Amendments Act of 2007). The following points are stated on the Clinical Trials.gov website:

- ClinicalTrials.gov is open; however, it is being maintained with minimal staffing due to the lapse in government funding.
- Information will be updated to the extent possible, with priority given to processing registrations of new trials and critical updates to existing entries, such as trial status and contact information for enrollment.
- The agency will attempt to respond to urgent operational inquiries.
- Further inquiries should be directed to the contact information on the ClinicalTrials.gov site.

**National Science Foundation (NSF)**

- Archived NSF Websites
- Awardees may continue performance under their NSF awards during the shutdown, to the extent funds are available, and the term of the grant or cooperative agreement has not expired. Any expenses must be allowable and in accordance with the Office of Management and Budget (OMB) cost circulars. During the shutdown, NSF cannot authorize costs exceeding available award amounts or obligate additional funds to cover such costs.
- No proposals will be accepted by FastLane.
- No new grants or cooperative agreements will be awarded.
- No new Continuing Grant Increments (whether electronically released upon Program Officer acceptance of the Annual Project Report or approved by a Grants and Agreements Officer) will be awarded.
- Work may continue on existing grants; however, payments from NSF will be delayed during the shutdown.
- Principal Investigators (PIs) will be unable to submit annual and final project reports or project outcomes reports via Research.gov. Awardees should submit their reports as soon as possible after NSF systems are available.
- No-cost extensions (including awardee-approved and NSF-approved) will not be able to be submitted or processed. Awardees, therefore, are cautioned that Federal funds cannot be obligated for expenses that occur beyond the award expiration date.
- More information can be found in the Announcement from the National Science Foundation (NSF)

**U.S. Agency for International Development (USAID)**

- Announcement from the U.S. Agency for International Development

**U.S. Department of Commerce**

- Contingency Plan
- Website

**U.S. Department of Education**

- Announcement from the U.S. Department of Education.

**U.S. Department of Justice**

- Office of Justice Programs website is unavailable as of October 4th
- Department of Justice