Updated Instructions on Interim Reporting and Carryover for RADx-UP Recipients

Dec 5, 2020

NOT-OD-21-038 updates the terms and conditions of award for recipients of competitive revisions under the RADx Underserved Populations (RADx-UP) initiative. This Notice applies only to awards for the following NOSIs:

- NOT-OD-20-121 NOSI: Limited Competition for Emergency Competitive Revisions for Community-Engaged Research on COVID-19 Testing Among Underserved and/or Vulnerable Populations
- NOT-OD-20-120 NOSI: Emergency Competitive Revisions for Community-Engaged Research on COVID-19 Testing Among Underserved and/or Vulnerable Populations
- NOT-OD-20-119 NOSI: Emergency Competitive Revisions for Social, Ethical, and Behavioral Implications (SEBI) Research on COVID-19 Testing Among Underserved and/or Vulnerable Populations

**Multiple PD/PI Requirements**

Competitive revisions to parent awards with multiple PD/PIs (MPIs) must align with PD/PIs on the parent. Members may be added to the leadership team to provide expertise for the supplement; however, the contact PD/PI and MPIs may not differ for the parent award. Any changes to contact and MPIs must be submitted in a prior approval request with an updated leadership plan.

**Interim Reports**

In addition to the annual RPPR, an interim progress report is due every six months for each competitive revision supplement outlining key milestones that have been met. **Due Dates:** for awards issued September 2020, interim reports are due March 31, 2021; for awards issued November 2020, interim reports are due May 31, 2021.

Beginning March 31, 2021, interim reports must be uploaded using the Additional Materials (AM) tool in the eRA Commons. The AOR will be required to submit reports to the GMO named on the NoA.

The interim progress report must outline:

- A brief summary of major activities, significant results, and key outcomes or other achievements for each specific aim.
- Funds obligated how much are unliquidated obligations and how much are unobligated.
- If recipient is on track to meet the 75% milestone, if not, provide a justification.

**Annual Reports**
Competitive revision supplement information will be reported in the annual RPPR, Section G.1 as directed in the current term of award and must include updates to the information provided in the interim report. For parent awards in an extension, recipients must upload the annual report including budget information for the next year using the AM tool in the eRA Commons.

**Carryover**

Recipients of competitive revision supplements are expected to complete 75% of their milestones along with the accompanying budget within the first 12 months of the project. Recipients maintain authority for automatic carryover of unobligated balances; however, NIH staff will monitor drawdowns in the PMS for large unobligated balances. NIH may offset where performance and expenditure milestones have not been met.

Please direct inquiries to grantspolicy@nih.gov.