Policy Revision for Managing Conflict of Interest for RFAs and Clarification of Policy for Managing Conflict of Interest in Peer Review

Nov 5, 2020

NOT-OD-21-005 removes Section II.B.5 (entirely) from policy notice NOT-OD-13-010
Advance Notice: Revised Policy for Managing Conflict of Interest in the initial Peer Review of NIH Grant and Cooperative Agreement Applications. Please refer to the Notices for full details.

NOT-OD-21-019 clarifies Section IIB.4 ?Professional Associates (NOT-OD-13-010); Section IIB.2 ?May Serve with a Waiver? (NOT-OD-14-069); and NOT-OD-18-115 ?Maintaining Integrity in NIH Peer Review Responsibilities and Consequences.?

1. Policy: NIH considers involvement in the preparation of an NIH grant application/contract proposal as a professional association that creates a conflict of interest with any other individual with a major professional role on that application, whether:
   1. The application is funded
   2. The individual involved in the preparation was listed as having a major professional role
   3. Compensation was provided to the individual involved in the preparation of the application/proposal

2. Management of such conflicts is outlined in NOT-OD-13-010 and NOT-OD-14-069.

3. Failure of a Scientific Review Group member to declare any conflict of interests, known to the reviewer, is considered a breach in the integrity of the NIH peer review process. Applicable consequences are outlined in NOT-OD-18-115.

Please direct inquiries to: ReviewPolicyOfficer@mail.nih.gov.