

CALIFORNIA INSTITUTE FOR REGENERATIVE MEDICINE

February 12th, 2013

Nilo Mia
 Director Costing Policy and Recharge Operations
 Budget and Resource Management
 University of California San Francisco
 3333 California Street, Laurel Heights 385
 San Francisco, CA 94143 - 0285

Re: REVISED - CIRM Facilities A & B rates for University of California, San Francisco (UCSF)

Dear Nilo,

In response to UCSF's submission of its most recent Federal Indirect Cost Rate Agreement with the worksheet breakdown of the facilities components, CIRM has approved the following Facilities rates that should be used in all current and future UCSF CIRM applications:

	FY 7/1/12 – 6/30/13	FY 7/1/13 – 6/30/14	FY 7/1/14 – 6/30/15	FY 7/1/15 – 6/30/16
Facilities PART A:				
Operations & Maintenance Expense	13.2%	13.2%	13.2%	13.2%
Library Expense	1.5%	1.5%	1.5%	1.5%
Facilities PART B:				
Depreciation or Use Allowance	11.3%	11.3%	12.3%	12.3%
Interest on Capital Debt	4.5%	5.0%	5.0%	5.5%
Total Facilities Rate	30.5%	31%	32%	32.5%

*Principal Investigators or Co-Principal Investigators who:

- 1) were not associated with the research program identified in Part 1, subpart B of the Applicant Institution's Major Facilities Grant Award application and proposed to be located in the CIRM-funded Major Facility,
- 2) were not identified in the Applicant Institution's space plan submitted to CIRM at the time of completion of construction as researchers who would be located in the CIRM-funded Major Facility AND
- 3) have allocated space, outside the Major Facility, in which they will conduct the proposed research, and they will not make sure of the Major Facility, aside from occasional use of shared specialized resources

are eligible to receive the Facilities B portions of the approved rates specified above. Given these Facilities B reductions must represent a savings to CIRM, we prefer applicants to budget the full Facilities B rates in their applications and let CIRM staff remove the funding in the JIT phase if an application were to be funded. For RFA programs that have an application Total Cost budget cap, an applicant cannot use the anticipated Facilities B reductions to rebudget funds into other direct budget categories and submit an application budget at the Total Cost cap.

Please also note an applicant should and CIRM will apply the approved Facilities rates in place at the beginning of each budget period for that whole budget year. User of a blended rate due to an overlap between the budget and fiscal year is not allowed.

Please continue to inform CIRM of any future updates to the UCSF's Federal Indirect Cost Rate Agreement.

Thanks for your help and let us know if you have any questions,

A handwritten signature in black ink, appearing to read "Gabriel Thompson". The signature is fluid and cursive, with a long horizontal stroke at the end.

Gabriel Thompson
Deputy Grants Management Officer

Staffing Report:

Instructions: Section A of this report has been populated with the faculty proposed to be located in the CIRM Major Facility from the original application. Please indicate whether these faculty are current CIRM grantees, any "CIRM Approved Changes", and their actual research program's location upon completion of the Major Facility. Please also list additional faculty not in the original list who will be located in the Major Facility in Section B.

<u>Individual Researcher</u>	<u>Department</u>	<u>Location as indicated in Original Application</u>	<u>Current CIRM Grantee?</u>	CIRM Approved Changes	<u>Actual Location upon Completion</u>
A. Included in Application:					
Alvarez-Buylla, Arturo, PhD	Neurological Surgery	CIRM Facility	yes		CIRM Facility
Blelloch, Robert, MD, PhD	Urology	CIRM Facility	yes		CIRM Facility
Bruckner, Katja, PhD	Anatomy	CIRM Facility			CIRM Facility
Derynck, Rik, PhD	Cell and Tissue Biology	CIRM Facility			CIRM Facility
Fisher, Susan, PhD	Cell and Tissue Biology	CIRM Facility	yes		CIRM Facility
German, Michael, MD	Diabetes Center	CIRM Facility			CIRM Facility
Giudice, Linda, MD, PhD	Ob/Gyn	CIRM Facility	yes		On Campus
Hebrok, Matthias, PhD	Diabetes Center	CIRM Facility			On Campus
Kriegstein, Arnold, MD, PhD	Neurology	CIRM Facility	yes		CIRM Facility
Laird, Diana (TBD)	Ob/Gyn	CIRM Facility			CIRM Facility
Lo, Bernard, MD	General Internal Medicine	CIRM Facility			On Campus
MacKenzie, Tippi, MD	Surgery	CIRM Facility	yes		CIRM Facility
Passegue, Emmanuelle, PhD	Medicine	CIRM Facility	yes		CIRM Facility
Ramalho-Santos, Miguel, PhD	Diabetes Center	CIRM Facility	yes		CIRM Facility
Rowitch, David, MD, PhD	Pediatrics & Neurological Surgery	CIRM Facility			CIRM Facility
Rulifson, Eric, PhD	Anatomy	CIRM Facility			CIRM Facility
Willenbring, Holger, MD	Surgery	CIRM Facility	yes		CIRM Facility
Yeghiazarians, Yerem, MD	Cardiology	CIRM Facility			CIRM Facility
B. Others Added after Application:					
Kajimura, Shingo, PhD	Diabetes Center				CIRM Facility
Lim, Daniel, MD, PhD	Neurological Surgery		yes		CIRM Facility
Oldham, Michael, PhD	Neurology				CIRM Facility
Song, Jun, PhD, MA	Epidemiology and Biostatistics				CIRM Facility
					CIRM Facility

Space Utilization Report

Instructions: This report has been filled with proposed faculty counts and assignable square feet information as presented in the application. For clarity, each row references the section of the application where the data was pulled. Please fill out the actual faculty counts and assignable square feet information in white cells located in the middle of the report.

Proposed CIRM Facility - Per Application	Element X	Element Y	Element Z	Total X,Y,Z
Applicant: Institution, Consortium or Facilities Collaboration Host Institution	University of California, San Francisco			
Number of CIRM-funded PIs to be in the facility (See #5)	1	5		6
Number of PIs to be in the facility at completion (See #6)	9	6	3	18
Number of PIs to be in the facility at capacity (See #7)	9	8	8	25
Amount of assigned lab and office space in asf (See 8a)	11,299	6,278	2,646	20,223
Amount of unassigned lab and office space in asf (See 8b)	-	2,511	5,157	7,668
Amount of common research space in asf (See 8c)	2,655	2,065	1,770	6,490
Amount of core service space in asf (See 8d)	800	1,044	718	2,562
Amount of administrative space in asf (See 8e)	2,721	2,116	2,418	7,255
Total amount of space in asf (sum of above)	17,475	14,014	12,709	44,198

CIRM Facility - Actual	Element X	Element Y	Element Z	Total X,Y,Z
Applicant: Institution, Consortium or Facilities Collaboration Host Institution	University of California, San Francisco			
Number of CIRM-funded PIs to be in the facility (See #5)	3	4	2	9
Number of PIs to be in the facility at completion (See #6)	9	5	5	19
Number of PIs to be in the facility at capacity (See #7)	10	7	7	24
Amount of assigned lab and office space in asf (See 8a)	9,224	5,103	5,299	19,626
Amount of unassigned lab and office space in asf (See 8b)	1,100	2,200	1,917	5,217
Amount of common research space in asf (See 8c)	4,756	2,935	2,428	10,119
Amount of core service space in asf (See 8d)	982	1,299	887	3,168
Amount of administrative space in asf (See 8e)	2,834	1,957	1,957	6,748
Total amount of space in asf (sum of above)	18,896	13,494	12,488	44,878

CIRM Facility - Difference b/w Application - Actual	Element X	Element Y	Element Z	Total X,Y,Z
Applicant: Institution, Consortium or Facilities Collaboration Host Institution	University of California, San Francisco			
Number of CIRM-funded PIs to be in the facility (See #5)	(2)	1	(2)	(3)
Number of PIs to be in the facility at completion (See #6)	-	1	(2)	(1)
Number of PIs to be in the facility at capacity (See #7)	(1)	1	1	1
Amount of assigned lab and office space in asf (See 8a)	2,075	1,175	(2,653)	597
Amount of unassigned lab and office space in asf (See 8b)	(1,100)	311	3,240	2,451
Amount of common research space in asf (See 8c)	(2,101)	(870)	(658)	(3,629)
Amount of core service space in asf (See 8d)	(182)	(255)	(169)	(606)
Amount of administrative space in asf (See 8e)	(113)	159	461	507

CIRM GRANT # HERE

Total amount of space in asf (*sum of above*)

(1,421)

520

221

(680)

APPENDIX B

UCSF

**On-Campus Research F&A Rates for CIRM Projects for FYs 2013 to 2016
Project In UCSF IRM Building (Dolby Regeneration Medicine Building)**

	<u>FY 2012-2013</u>		<u>FY 2013-2014</u>		<u>FY 2014-2015</u>		<u>FY 2015-2016</u>	
	<u>Federal</u>	<u>CIRM</u>	<u>Federal</u>	<u>CIRM</u>	<u>Federal</u>	<u>CIRM</u>	<u>Federal</u>	<u>CIRM</u>
	<u>Negotiated</u>	<u>Calc</u>	<u>Negotiated</u>	<u>Calc</u>	<u>Negotiated</u>	<u>Calc</u>	<u>Negotiated</u>	<u>Calc</u>
Building Depreciation	9.0%	-	9.0%	-	10.0%	-	10.0%	-
Interest	4.5%	-	5.0%	-	5.0%	-	5.5%	-
Equipment Depreciation	2.3%	-	2.3%	-	2.3%	-	2.3%	-
Operations & Maintenance	13.2%	13.20%	13.2%	13.20%	13.2%	13.20%	13.2%	13.20%
Library	1.5%	1.50%	1.5%	1.50%	1.5%	1.50%	1.5%	1.50%
Total Facilities	30.5%	14.70%	31.0%	14.70%	32.0%	14.70%	32.5%	14.70%
Administrative on Direct	26.0%	20.00%	26.0%	20.00%	26.0%	20.00%	26.0%	20.00%
Administrative on Facilities	-	2.94% (14.7% x 20.0%)	-	2.94% (14.7% x 20.0%)	-	2.94% (14.7% x 20.0%)	-	2.94% (14.7% x 20.0%)
Total	56.5%	37.64%	57.0%	37.64%	58.0%	37.64%	58.5%	37.64%

Note: Depreciation and interest are excluded per agreement with CIRM as part of CIRM construction award for UCSF's IRM building.

APPENDIX C

UCSF

**On-Campus Research F&A Rates for CIRM Projects for FYs 2013 to 2016
Project Not In UCSF IRM Building (Dolby Regeneration Medicine Building)**

	<u>FY 2012-2013</u>		<u>FY 2013-2014</u>		<u>FY 2014-2015</u>		<u>FY 2015-2016</u>	
	<u>Federal</u>	<u>CIRM</u>	<u>Federal</u>	<u>CIRM</u>	<u>Federal</u>	<u>CIRM</u>	<u>Federal</u>	<u>CIRM</u>
	<u>Negotiated</u>	<u>Calc</u>	<u>Negotiated</u>	<u>Calc</u>	<u>Negotiated</u>	<u>Calc</u>	<u>Negotiated</u>	<u>Calc</u>
Building Depreciation	9.0%	9.00%	9.0%	9.00%	10.0%	10.00%	10.0%	10.00%
Interest	4.5%	4.50%	5.0%	5.00%	5.0%	5.00%	5.5%	5.50%
Equipment Depreciation	2.3%	2.30%	2.3%	2.30%	2.3%	2.30%	2.3%	2.30%
Operations & Maintenance	13.2%	13.20%	13.2%	13.20%	13.2%	13.20%	13.2%	13.20%
Library	1.5%	1.50%	1.5%	1.50%	1.5%	1.50%	1.5%	1.50%
Total Facilities	30.5%	30.50%	31.0%	31.00%	32.0%	32.00%	32.5%	32.50%
Administrative on Direct	26.0%	20.00%	26.0%	20.00%	26.0%	20.00%	26.0%	20.00%
Administrative on Facilities	-	6.10% (30.5% x 20.0%)	-	6.20% (31.0% x 20.0%)	-	6.40% (32.0% x 20.0%)	-	6.50% (32.5% x 20.0%)
Total	56.5%	56.60%	57.0%	57.20%	58.0%	58.40%	58.5%	59.00%