

Research Management Services (RMS) Proposal Cost Matrix for 2014

The following chart summarizes the cost per type of proposal for different types of funding, funding mechanisms, and proposal action.

FUNDING TYPE/ MECHANISM / PROPOSAL ACTION	TIER 1	TIER 2	TIER 3
FEDERAL / FEDERAL FLOW THROUGH GRANT OR CONTRACT			
New, Renewal, or Supplement equal or less than \$250,000		X	
Continuation equal or less than \$250,000	X		
New, Renewal, or Supplement greater than \$250,000			X
Continuation greater than \$250,000		X	
FELLOWSHIPS			
New, Continuation, Renewal, Supplement	X		
FOR-PROFIT GRANT OR CONTRACT			
New, Renewal, Supplement		X	
NON-PROFIT / PRIVATE GRANTS OR CONTRACTS (Non-Fellowship)			
New, Continuation, Renewal, or Supplement equal or less than \$250,000		X	
New, Continuation, Renewal, or Supplement greater than \$250,000			X
STATE / CITY / COUNTY GRANTS OR CONTRACTS			
New, Renewal, or Supplement equal or less than \$250,000		X	
New, Renewal, or Supplement greater than \$250,000			X
SUBCONTRACTS - INCOMING			
Incoming (from another institution) equal or less than \$250,000	X		
Incoming (from another institution) greater than \$250,000		X	
SUBCONTRACTS - OUTGOING			
Outgoing Subcontracts (New, Continuation, Renewal, Supplement)	X		
OTHER			
Intellectual property agreements (IPAs)	X		
Not submitted or withdrawn proposals but prepared by RMS	X		
Other miscellaneous grants not otherwise noted		X	
UC / UCOP INTERNAL GRANTS			
New, Continuation, Renewal, or Supplement equal or less than \$250,000		X	
New, Continuation, Renewal, or Supplement greater than \$250,000			X
RESUBMISSIONS			
Original proposal/submission was Tier 3		X	
Original proposal/submission was Tier 2	X		
Original proposal/submission was Tier 1	X		

RMS Cost Guidelines:

1. A proposal is **any** sponsored project action that generates a *proposal number* in the RMS system.
2. **Actions that do not generate a *proposal number* are not charged.** Generally these are actions for existing awards that do not result in a reduction or addition of funds. For example: *rebudgeting requests; no cost extensions or other amendments to term; submission of financial or progress reports; contract officer approvals on federal contracts. RMS will process these requests at no charge.*
3. The charge is based on the “complexity” of the type of proposal. The main factors that determine complexity are amount of the proposal; amount of subcontracts under the proposal; type of funder. There are **three complexity tiers**:
 - Tier 1 is **\$1,312** per proposal;
 - Tier 2 is **\$2,624** per proposal;
 - Tier 3 is **\$5,248** per proposal.
4. **Outgoing Subcontracts** (UCSF subcontracting to another institution) are charged as a **separate** proposal action for each subcontract that is prepared and executed after award. Thus, a newly awarded federal grant for \$500,000 that has 4 subcontracts would be charged \$2,624 for the new grant being submitted and processed and then \$1,312 for each subcontract that is processed after UCSF receives the award. A total of \$7,872 in charges for this new grant for all actions to be completed.
5. **Resubmissions** are valued at half their original value; if originally a Tier 3, they become a Tier 2; if a Tier 2, become a Tier 1; Tier 1s are unchanged.
6. All **sub-ins** are Tier 1s, regardless of sponsor.
7. Large single PI vs. multi-PI foundation proposal; it's the \$ value of the proposal that drives complexity assignment
8. Proposals that are prepared by RMS but then withdrawn or not submitted will be assessed a Tier 1 charge of \$1,312.