Compliance and Policies

Researchers are expected to comply with a variety of regulations and policies. This page lists the various oversight requirements, policies, and committees which are important in overseeing the sponsored research process.

UCSF Compliance Offices and Information

- **Use of Humans in Research**
  - Human Research Protection Program (HRPP) also known as, Committee on Human Research (CHR)
  - Human Subjects Federal Regulations, State Statutes and Guidance
  - Human Gamete, Embryo and Stem Cell Research Committee (GESCR)

- **Use of Laboratory Animals in Research**
  - Institutional Animal Care and Use Committee (IACUC)

- **Conflict of Interest Advisory Committee** (COIAC)

- **Office of Clinical Research** is responsible for ensuring medical billing compliance. See [OCR - Coverage Analysis](http://osr.ucsf.edu) for more information.

- **Technical Committees** are campus committees that are mandated by regulatory and policy requirements.
  - Biological Safety Committee
  - Chemical & Environmental Safety Committee
  - Radiation Safety Committee
  - Radioactive Drug Research Committee

UCSF Policies

There are many campus policies involved in the research administration process. These policies are primarily located in the *Academic Administration* (100), *Financial Administration* (300), and *Contracts and Grants* (400) sections of the [Campus Administrative Policies](http://osr.ucsf.edu).

Following are other UCSF policy links:

- [Campus Code of Conduct](http://osr.ucsf.edu)
- [Social Media Guidelines](http://osr.ucsf.edu)
- [Export Control](http://osr.ucsf.edu)
In addition to actual shipment of a commodity out of the United States, export regulations also control the transfer, release or disclosure of technical data about controlled commodities to foreign persons in the United States.

- **Integrity of Research**: Along with the Integrity of Research campus policy (100-29), Academic Affairs offers further procedures on Integrity of Research.

**UCOP and Regents’ Policies**

- **Contracts and Grants Manual**
- **Academic Personnel Manual**
- **Accounting Manual**
- **Standing Orders**
- **UCOP Policies and Guidance Main Menu**
- **Business and Finance Bulletins**

**Federal Policies and Regulations**

- **Uniform Guidance** effective December 26, 2014
- **OMB Circular A-21**: Cost Principles
- **OMB Circular A-110**: Administrative Principles
- **OMB Circular A-133**: Audit Principles
- **Code of Federal Regulations**
- **NIH - Grants and Funding**
  - Responsible Conduct of Research: NIH provides guidance and training resources in the Responsible Conduct of Research (RCR). RCR training is mandatory for the recipients of some NIH awards.
- **Federal Register**

**Human Resources Administration**

- Protecting Your Grant: **Affirmative Action and Non-Discrimination**: Hiring for grants at UCSF must follow laws regarding Affirmative Action and Equal Opportunity Employment.

- **Personnel Policies for Staff Members**

- **A&PS and Staff Title and Pay Plans**

**San Francisco and Bay Area Counties**

- Alameda County
- Contra Costa County
- County of Marin
- Napa County
- City and County of San Francisco
- County of San Mateo
- County of Santa Clara
- Solano County
- County of Sonoma

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