Other Support

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Other Support Resources

- **Webinar: Other Support, Related Effort and RMS systems:** A webinar recording from the training opportunity describing other support, all personnel reports, effort reporting and over of RMS systems.

- **Presentation: Other Support, Related Effort and RMS systems:** Slide Deck from the training opportunity describing other support, all personnel reports, effort
reporting and over of RMS systems.

- **Sample JIT Other Support Document with Notes**: An example of an Other Support document to be used during JIT, with footnotes defining key terms in the document.
- **Sample JIT Other Support Document with no Notes**: An example of Other Support Document with notes removed, and text hidden.
- **Blank JIT Other Support Document**
- **Sample RPPR Other Support: An example of an RPPR Other Support Document**: As includes an example of how to include Industry Clinical Trials on the Other Support Document.
- **DOD Example**
- **Summary of NIH Policy regarding Senior/Key Personnel and Key Investigator**:
  This chart provides definitions, who designates, requirement, and references for proposals, other support, prior approval and COI.

- Stay tuned for the following:
  - A sample K Other Support Document
  - A sample VA joint Appointment Other Support Document

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**What is Other Support?**

All financial resources, whether Federal, non-Federal, commercial or institutional, available in direct support of an individual's research endeavors, including but not limited to research grants, cooperative agreements, contracts, and/or institutional awards.

**What is not included in Other Support?**

Training awards, prizes and gifts are not included.

**Why is Other Support important?**

Information on other support assists awarding agency staff in the identification and resolution of potential overlap of support. Overlap, whether scientific, budgetary, or commitment of an individual's effort greater than 100 percent*, is not permitted. The goals in identifying and eliminating overlap are to ensure that sufficient and appropriate levels of effort are committed to the project; that there is no duplication of funding for scientific aims, specific budgetary items, or an individual's level of effort; and only funds necessary to the conduct of the approved project are included in the award.

* Be aware of appointment type and clinical, teaching, and/or administrative requirements; effort may need to be capped at 95% to allow time for those activities.

If the PI's level of effort changes by 25% or more than what was proposed PRIOR APPROVAL is required from NIH.
What information should be included in an Other Support entry?

An entry should include the information necessary to properly identify the project and its relevance to the investigator’s work in terms of purpose, role and salary support. Typically, this information includes (numbered items in this list are referenced in sample):

1. Funding identification number (e.g. grant number as assigned by the funder), if applicable
2. Last name of the principal investigator of the project
3. Dates of entire project
4. Effort level of this person on this project (calendar months)
5. Funder
6. Project’s direct expense for the current project year
7. Project title
8. Brief narrative of project

Sample Other Support entry:

1. 2R01DE0123456-02 2(Duck, D) 301/01/11-127/31/15 40.60 calendar months
2. NIH/NAID 5$225,000
3. Clinical Assessment of bone demineralization with PS-DEC 6The overall objective of this proposed research is to evaluate the validity, reliability and invasive device for the accurate and early clinical assessment of bone demineralization.
4. Role: Co-investigator

Other Support information by section:

Active Support:

Include all current support and calendar months devoted to a project (paid, unpaid, and cost-share). If there is a no-cost extension in effect, be sure to change the end date to reflect the no-cost extension period.

Tip: Be consistent with presentation. Though not required, it is better if all dates are presented in the same format. If possible, use MM/DD/YY.

Pending:
Include any pending proposals (i.e.: proposals that have been submitted and the start-date has not lapsed) that include any salary support for the individual. These entries should look very similar to the active support entries. Include the start/end dates for the entire project, the person’s effort (in calendar months) and the total direct expense for the first project period of the proposal.

**Sample Pending Support entry:**

<table>
<thead>
<tr>
<th>PENDING</th>
<th>01/01/12-12/31/17</th>
<th>3.00 calendar months</th>
</tr>
</thead>
<tbody>
<tr>
<td>5R01MC123456 (Anderson)</td>
<td>NIH/NIDCR</td>
<td>$82,163</td>
</tr>
<tr>
<td>Elimination of toothaches using acetaminophen</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

The major goals of this project are to define the root cause of toothaches and a novel approach to treatment in domestic environments.

**Other Support: Overlap**

Identify any scientific, budgetary, or commitment overlap that would occur if the current proposal were approved. Then state how it would be resolved. The goals in identifying and eliminating overlap are to ensure that sufficient and appropriate levels of effort are committed to the project; that there is no duplication of funding for scientific aims, specific budgetary items, or an individual’s level of effort; and that only funds necessary to the conduct of the approved project are included in the award.

Budgetary overlap occurs when duplicate or equivalent budgetary items (e.g., equipment, salary) are requested in an application but are already provided for by another source.

Commitment overlap occurs when a person’s time commitment exceeds 100 percent (i.e., 12 person months), whether or not salary support is requested in the application. While information on other support is only requested for Senior/key Personnel (excluding consultants), no individuals on the project may have commitments in excess of 100 percent or 12 person months.

Scientific overlap occurs when: (1) substantially the same research is proposed in more than one application or is submitted to two or more different funding sources for review and funding consideration, or (2) a specific research objective and the research design for accomplishing that objective are the same or closely related in two or more applications or awards, regardless of the funding source. Potential scientific overlap is to be addressed by the SRG only by its identification in an Administrative Note in the Summary Statement.

**Sample Overlap entries:**

<table>
<thead>
<tr>
<th>OVERLAP</th>
</tr>
</thead>
<tbody>
<tr>
<td>There is commitment overlap for Dr. XYZ between 5R01WE1234546-01 and the application under consideration. If this application is funded Dr. XYZ will request approval to reduce his effort on 5R01WE1234546-01 to 2 calendar months. If other pending applications are funded, Dr. XYZ will reduce his effort appropriately.</td>
</tr>
</tbody>
</table>
OVERLAP:

There is scientific overlap commitment overlap for Dr. XYZ between aim 1 of 5R01WE1234546-01 and aim 2 of the application under consideration. If this application is funded, Dr. XYZ?s effort will be adjusted on 5R01WE123456-01 to remove the overlap.

As noted above, the Institute/Center?s scientific program and grants management staff will review other support information prior to award.

Resolution of overlap occurs at the time of award in conjunction with applicant institution officials, the principal investigator, and awarding agency staff. NIH staff continue to monitor changes to other support information throughout the project as part of the annual progress reviews.

FAQs:

Q: What should the budget amount during a NCE, the last year?s direct cost, or what is remaining?

A: The direct costs from the last year.

Q: When should we remove something from the pending section?

A: Either if the start date has already passed, or if the PI hears from the sponsor that it will not be funded.

Q: Do you need prior approval from the NIH?s GMS for subsumed effort on a K award?

A: No, subsumed effort is complementary effort and does not require prior approval but you do need approval for concurrent effort. For more information go here: http://grants.nih.gov/grants/policy/nihgps_2012/nihgps_ch12.htm (see 12.3.6.1 and 12.3.6.2)

Q: If my faculty is a co-investigator on a grant, should I list the annual direct costs for the whole project, or for just his/her portion of the project?

A: The annual direct costs for the whole project. If this is a large project grant (for example a P50), list only projects/core that the faculty member work on, list the annual direct costs for the project/core.

Q: How do I get previous copies of NIH RPPR progress reports?

A: NIH RPPR progress reports are uploaded to eProposal. To find documents in eProposal follow this guide. Search by the A#. (Need to update the eProposal guide, then I will add link guide on the iris-help website. )

Q: Should I Submit the Other Support directly to NIH, or should it go to the RSC?

A: Submit the Other Support to the RSC. The RSC will submit to NIH.

Q: Do we need prior approval for an increase of effort?

A: For NIH, no. You only need prior approval for REDUCTIONS of 25% or more (if they are
listed as Key Personnel in the Notice of Award). If not NIH, please check the sponsor policy.

**Q: What if we have received prior approval to reduce effort?**

**A:** This is the new baseline of effort. If you make further reductions, you need to ask for prior approval if you reduce by 25% of this NEW approved effort level.

**Q: What should I do if NCE is pending?**

**A:** Keep it listed in the active section. Put the proposed new dates and next to the dates, put in Parentheses, NCE Pending.

Example:

R01 HL 000000² (Anderson) (AXXXXXX) 03/1/10? 02/28/16(NCE Pending)
5.60 calendar

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**Helpful links:**

*note: this predates the electronic submissions for NIH. When you use this format, delete the headers and footers prior to submitting*.


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Source URL: [http://osr.ucsf.edu/content/other-support](http://osr.ucsf.edu/content/other-support)